

# 2016 Measure B Citizens' Oversight Committee

## Annual Report FY 2017 - FY 2019



### Table of Contents

(Click to jump to each section)

- A. [2016 MBCOC Overview, Finding, Accomplishments, and Members](#) ..... 1-2
- B. [2016 Measure B Annual Report](#) ..... 3-56
- C. [Independent Auditor's Report](#) ..... 57-77
- D. [2016 Measure B Ballot](#) ..... 78-85



**2016 MBCOC Finding**

It is the conclusion of the 2016 Measure B Citizens' Oversight Committee that for Fiscal Years 2017-2019, 2016 Measure B funds were spent in accordance with the intent of the measure.

### Overview

In November 2016, Santa Clara County voters approved 2016 Measure B, a 30-year, half-cent countywide sales tax devoted to enhancing transit, highways, expressways, and active transportation (bicycles, pedestrian, and complete streets) within Santa Clara County. The ballot states that: (A) VTA would be the administrator of the tax; and (B) 2016 Measure B revenues would fund nine defined program categories of transportation projects. The VTA Board of Directors is responsible for 2016 Measure B policy decisions.

By passing the Measure, voters entrusted the 2016 Measure B Citizens' Oversight Committee (2016 MBCOC), comprised of community members, with oversight to ensure that 2016 Measure B funds are being spent consistent with the ballot language and to inform voters on Program compliance as described in 2016 Measure B. The ballot also lists the specific duties and responsibilities of the 2016 MBCOC (click [here](#) for 2016 MBCOC bylaws).

Although 2016 Measure B sales tax collection began April 1, 2017, the process to deliver the projects and programs defined did not begin until late January 2019, having been delayed by an unsuccessful court challenge to the measure.

Given this, the 2016 MBCOC determined it prudent, because of the limited activity during 2017-2019, to combine the first three fiscal years: FY 2017 (04/01/17 – 06/30/17), FY 2018 (07/01/17 – 06/30/18), and FY 2019 (07/01/18 – 06/30/19) for both the first audit and Annual Report.

This report, which is a snapshot in time, contains the 2016 MBCOC's finding on whether 2016 Measure B funds during the period were spent consistent with the intent of the ballot. It also summarizes significant 2016 Measure B accomplishments. Additionally, the report includes for the period VTA's 2016 Measure B Program Annual Report (Section B) and the independent auditor's report commissioned by the 2016 MBCOC (Section C).

# 2016 Measure B Accomplishments During FYs 2017-2019

- VTA Board of Directors approved:
  - FY 2018 and FY 2019 Biennial Budget that included 2016 Measure B allocations
  - 2016 Measure B Complete Streets reporting requirements
  - Formation, committee bylaws, and appointments to the 2016 MBCOC
  - Establishment of the 2016 Measure B Program and the Program Guidelines
  - 2016 Measure B funding proposals as part of FY 2020 and FY 2021 Biennial Budget
- VTA began providing distributions to Member Agencies to fund certain 2016 Measure B projects/programs (Click [here](#) to view *2016 Measure B Annual Report on FY 2017 – FY 2019*.)



Before



After

These photos (left) show before and after the road improvements completed on Essex Way in San Jose.

## About the 2016 MBCOC

- Voter-entrusted responsibilities to ensure 2016 Measure B funds are being spent consistent with the ballot and to inform voters on compliance with the Program as described in 2016 Measure B.
- Comprised of eight membership positions from the community, each competitively appointed from defined areas of expertise and with required experience.
- Members must be registered voters of Santa Clara County, cannot hold elected or appointed office, and cannot be staff of VTA or its Member Agencies (the 15 cities/towns in the county and the County of Santa Clara).

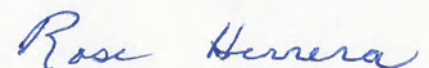
## 2016 MBCOC Members

- **Jason Baker**, *Member* (Regional Business Organization)
- **Rose Herrera**, *Chairperson* (Municipal/Public Finance)
- **Bonnie Packer**, *Member* (Community Organization)
- **Christine Pfendt**, *Vice Chairperson* (Financial Policy Administration)
- **Martin Schulter**, *Member* (Educational Administration)
- **Ed Tewes**, *Member* (Construction Project Management)
- **Ed Von Runnen**, *Member* (Organized Labor)

## 2016 MBCOC Accomplishments Supporting FYs 2017-2019

- Held three 2016 MBCOC meetings to provide opportunity for community input.
- Conducted hearing on 07/28/21 to gather input from public; click [here](#) for summary of input received.
- Completed competitive procurement and approved contract award for independent performance auditing services to Moss Adams LLP.
- Commissioned and evaluated results of audits of FYs 2017-2019.
- Reviewed and provided feedback on 2016 Measure B Transparency website (click [here](#) to view).
- Provided input on several 2016 Measure B work processes and reports.
- Provided recommendations on ways to enhance transparency.
- Developed and broadly communicated availability of inaugural 2016 MBCOC Annual Report on FYs 2017-2019.
- Requested inclusion of audit recommendation resolution log in future audit reports.

Respectfully submitted,



**Rose Herrera**, *Chairperson*  
2016 Measure B Citizens'  
Oversight Committee